

REGULATORY DOCUMENT · LL CAPITAL & PARTNERS LIMITED

Investor Compensation Scheme Notice

What the Malta Investor Compensation Scheme covers, who is eligible, the 90% / EUR 20,000 limit and how to claim.

ISSUER	LL CAPITAL & PARTNERS LIMITED (trading as North Stone Capital)
REGULATOR	Malta Financial Services Authority (MFSA)
MBR REGISTRATION CODE	C 46015 · Authorised Person ID: LLCP
COMPANY REGISTRATION DATE	13-01-2009 · LEI code: 5493008QRPT09QVP0C32
REGISTERED OFFICE	TAGLIAFERRO BUSINESS CENTRE, LEVEL 6 Gaiety Lane SLIEMA Malta SLM 1551
VERSION / STATUS	1.1 · Effective 19 September 2025 · Issued 19 September 2025
LANGUAGE	English (language of record). Paper copies free on request: support@northstonecapital.net

This notice explains the protection available to eligible clients of LL CAPITAL & PARTNERS LIMITED ("we") under Malta's Investor Compensation Scheme if the company were ever unable to meet its obligations. It is provided under the Investor Compensation Scheme Regulations made under the Investment Services Act and the MFSA's disclosure rules.

1. What the Scheme is

The **Investor Compensation Scheme** is a rescue fund for customers of failed investment firms licensed by the Malta Financial Services Authority (MFSA). It is funded by contributions from licensed firms, including LL CAPITAL & PARTNERS LIMITED, and administered by a management committee appointed under the Regulations. Membership is a condition of our licence.

2. What it covers

The Scheme may compensate an eligible investor when a licensed firm is unable, for reasons directly related to its financial circumstances, to return money owed to or belonging to the investor and held in connection with investment services, or to return financial instruments belonging to the investor which the firm holds, administers or manages.

The Scheme covers the failure of the firm. It does **not** cover losses that result from trading — a position that moved against you, a market gap, or a decision that turned out badly is never a claim on the Scheme.

3. How much

Compensation is **90% of the net eligible claim**, subject to a **maximum payment of EUR 20,000 per investor**, per firm. "Net" means the amount owed to you after any amounts you owe the firm are deducted.

4. Who is eligible

Retail clients, including individuals and most companies, are generally eligible. The Regulations exclude, among others: professional and institutional investors, banks and investment firms, insurance undertakings, collective investment schemes and pension funds, directors and managers of the failed firm and their close relatives, persons who hold more than 5% of its capital, and anyone whose claim arises from transactions connected with money laundering.

5. How client money is protected before the Scheme is ever needed

Client funds are held in segregated client-money accounts with credit institutions, separately from the company's own money, and are reconciled daily. Segregation is the first line of protection; the Scheme is the last.

6. How to claim

If the MFSA or a court determines that we are unable to meet our obligations, the Scheme's management committee publishes the procedure and deadlines for lodging claims. Claims are made in writing with evidence of the amount owed. We will publish the committee's notice at northstonecapital.net/regulatory and inform clients directly. Further information about the Scheme is available from the MFSA (<https://www.mfsa.mt/financial-services-register/>).

7. Contact

Questions about this notice may be sent to support@northstonecapital.net.

Questions about this document may be sent to support@northstonecapital.net or to the registered office above. The current version of every regulatory document is published at northstonecapital.net/regulatory.